AML DOCUMENTATION

Guide to Acceptable/Unacceptable Forms of Proof of Identity & Address

Proof of **Identity**

ONE certified copy of acceptable ID (listed below) clearly showing the photograph, expiry date and all other relevant information pertaining to the document provided.

If the name on the ID is different to that on the account, e.g. married name, maiden name, etc., please provide a certified copy of the marriage certificate or equivalent document.

Please do NOT send originals.

Proof of **Address**

TWO original or certified copies of a Proof of Address, ONE must be a Bank Statement for the IBAN attached to the account. Please choose a second from the acceptable list below. The document must bear the name and address as provided on the application for each named person on the account.

We do NOT return original Proofs of Address.

Please note that we require two documents from separate entities.

Please Note: On expiry your account will be temporarily blocked from trading until updated ID/Proof of Address is received.

Who can certify my documents?

A certified copy is one which has been signed, dated and stamped as a true copy of the original by an intermediary, bank manager or Commissioner for Oaths, or a practising solicitor/accountant. Please quote the FCCA (or equivalent) of the accountant who has certified the AML.

Alternatively, if you choose to call into a Cantor office with the originals, we will gladly take a copy of the relevant documents and certify them. We have offices in Dublin, Cork and Limerick.

What important information needs to be on the documents?

Utility bills or bank statements may not be more than 6 months old (e.g. a telephone or electricity bill or a current/savings account statement) bearing the name and address provided on your application form. Supply address must match the postal address. If letters from Revenue are used for this purpose they must be dated within the last twelve months and show PPS number.

Examples of **Acceptable** ID documents:

- Official Passport (Irish or Foreign).
- Passport Card including a copy of the back and front of the card.
- Official Drivers Licence (Irish or Foreign).
- EU Identity Card including expiry date and a copy of the back and front of the card.
- In the event you do not have one of the above acceptable proofs of ID, please contact our AML Team at amlireland@cantor.com to discuss alternative options.

Examples of **Acceptable** Proof of Address documents:

- Current bank statements, or credit/debit card statements issued by a regulated financial sector body in Ireland, EU or comparable jurisdiction (including those printed from the internet) - dated in the last 6 months.
- Correspondence from a Regulated Irish Financial Institution (Insurance/Assurance Co., Bank, Building Society, Credit Union, Credit Card Company) which contains policy or account number- dated in the last 6 months. General Correspondence is not accepted.

- Current utility bills e.g Gas, Electricity, Internet, TV or Landline Telephone (including online utility bills) - dated in the last 6 months.
- Refuse Collection Statements e.g. Greyhound - dated in the last 6 months.
- Current Home, Health or Motor renewal document, policy schedule or certificate- dated in the last 12 months.
- Current documentation issued by the Revenue Commissioners showing the name, address and PPS number of the client - dated in the last 12 months.
- Current Motor Tax Certificate (renewal letter not accepted)- dated in the last 12 months.
- Current documentation issued by the Department of Social and Family Affairs showing the name, address and PPS number of the client - dated in the last 6 months.
- Instrument of a court appointment (such as liquidator, or grant of probate. Do NOT send originals, certified copies only) - dated in the last 6 months.
- Drivers Licence - issue date within the last year.
- Mobile phone bills - as a second proof of address only.

Please note that any foreign documents must be translated to English by a qualified translator and certified.

Please note that all documents are subject to review and can be rejected at Cantor Fitzgerald Ireland's discretion.

Examples of **Unacceptable** ID or Proof of Address documents:

- Two Proofs of address from the same institution i.e. a credit card and bank statement from the same bank.
- TV Licence
- Polling Cards
- Invoices
- An Post documentation
- Share Certs
- Student ID Cards
- Foreign documents not translated to English
- Electoral Register
- ML10 Form
- EFlow Statements

If you have any questions, please contact our AML Team on 01 633 3888 directly or your Cantor Broker or Intermediary.

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